



Product Type

Account No.

BO ID No.

Name

Contact

শেয়ার বাজারে বিনিয়োগ ঝুঁকিপূর্ণ। জেনে ও বুঝে বিনিয়োগ করুন।

Corporate Member:
Dhaka Stock Exchange Limited-3.1/39/2008/179, Chittagong Stock Exchange Limited-

CUSTOMER ACCOUNT INFORMATION FORM

Date : [FILL UP THE FORM IN CAPITAL LETTERS] Account Type : Cash Margin
 Client Code : Link Code :

First Account Holder

Name of the Customer :
 Father's/Husband's/CEO's (In case of Firm or Company) Name :
 Mother's Name :
 Date of Birth : Sex : Male Female Nationality :
 Present Address :
 Mobile : Tel # :
 Permanent Address :
 Tel # : E-mail ID :
 Occupation : E-Tin #

Joint Account Holder

Name of Joint Account Holder :
 Father's/Husband's Name :
 Mother's Name :
 Date of Birth : Sex : Male Female Nationality :
 Present Address :
 Mobile : Tel # :
 Permanent Address :
 Tel # : E-mail ID :
 Occupation : E-Tin #

Signed Photograph of
Joint Account Holder

Authorized Person Information

Name of Authorized Person :
 Father's/Husband's Name :
 Date of Birth : Sex : Male Female Nationality :
 Present Address :
 Mobile : Tel # :
 Permanent Address :
 Tel # : E-mail ID :
 Occupation : E-Tin #

Officer or Director of any Stock Exchange Listed Company? Yes No Signature

If Yes, Name of the Stock Exchange/Listed Company :

Signed Photograph of
Authorized Person

Bank Name :
 Branch Name :
 Account No. : Routing No. :

Declaration : It is hereby declared that all the above mentioned information in customer account information form are true & valid.

	Name	Signature with Date
First Account Holder		
Joint Account Holder		
Member		

(TERMS AND CONDITIONS FOR OPENING A BROKERAGE ACCOUNT)

DECLARATION / AGREEMENT

I/We the undersigned whose information is given in the Brokerage Application Form hereby request to be registered as a client of **Shanta Securities Limited**, to open a brokerage account in my/our/company name. I/We further agree and confirm that the account hereby requested to be opened by me/us shall be held and be governed by the terms and conditions of this agreement as provided hereinafter and as may be modified from time to time by Shanta Securities Limited and that I/we/company name have/has the necessary authority and permission to enter this agreement.

TERMS AND CONDITIONS

Instruction: A written instruction shall be given to Shanta Securities Limited from time to time to purchase and/or sell investments (which shall mean and include stocks, debentures, mutual funds and private placement or any other similar financial instrument as may be made available from time to time) on behalf of the account holder. On receipt of such Instructions along with a cash deposit or delivery of shares, Shanta Securities Limited shall, so far as Shanta Securities Limited considers it reasonably practicable, purchase and/or sell investments in accordance with those instructions, provided always that (I) any such dealings do not contravene any applicable laws or regulation; (II) Shanta Securities Limited shall have an absolute discretion to accept or reject purchase/sell instructions and (III) account holder's instruction shall include the following details:

- The name of the investment.
- Quantity.
- Price with notification of limit or discretion.
- The duration of the order.
- The nature of the lot (i.e. scrip size) for sale or the desired format for purchase order (See Note below).
- The order should specify completion formats of:
 - All or partial fill with minimum trade value or number of shares.
 - Mode of execution i.e. DVP (delivery versus payment)/NON DVP (non delivery versus payment),

(Note: The number of shares constituting a "market lot" may change. Sellers must include the exact format of their holding. This has a direct impact on market pricing given the predominantly retail nature of the market. Trades may fail where non-agreed lot sizes are delivered).

Joint Accounts: If this is a joint account, unless the account holders notify Shanta Securities Limited otherwise and provide such documentation as Shanta Securities Limited may require at its sole discretion, the brokerage account(s) shall be held by the account holders jointly with rights or survivorship (payable to either or the survivor). Under these terms and conditions each joint account holder irrevocably appoints the other as attorney in fact to take all action on his or her behalf and to represent him or her in all respects in connection with this agreement. Shanta Securities Limited shall be fully protected and indemnified in acting but shall not be required to act upon the instruction of either of the account holder, who shall be liable, jointly or severally, for any amounts due to Shanta Securities Limited pursuant or this agreement, whether incurred by either both of the accounts holders.

Risk: The account holder understand(s) that the stock market is a rapidly changing market and that there is an inherent risk in incurring loss in share dealings, which Shanta Securities Limited, is not in anyway whatsoever liable and/or responsible for the share dealings of the account holder

Limit Orders: While Shanta Securities Limited will endeavor to purchase or sell the investment within the limits of the prices that may be notified by the account holder, Shanta Securities Limited does not guarantee or assure that the transactions will be materialized on such notified limits. Shanta Securities Limited will always endeavor to obtain the best price.



