

CDBL Bye Laws
Bye Law 3.7

Annexure A -1

Fees Payable For Depository Services							
Sl#	TYPE OF FEES	RATE OF FEES	MINIMUM FEE (Taka)	BASIS OF FEES	PAYABLE BY	WHEN PAYABLE	MODE
1.	Dematerialization Fee	TK 0.00015	5	Market Value of Securities	Participants	Month end	P.O./Draft
2.	Transaction Fee	TK 0.00015	5	Market Value of Securities	Participants / Account Holders	Month end	P.O./Draft
3.	Annual Account Maintenance Fee	TK 500 p.a.	-	Fixed yearly fee	Participants / Account Holders / Omnibus Account holders	Yearly in advance end June	P.O./Draft
4.	Depository Connection Fee	TK 500 p.m.	-	Fixed monthly fee for the first connection	Participants / Issuers	Monthly in advance	P.O./Draft
5.	Additional Depository Connection Fee	TK 5,000 p.m.	-	Fixed monthly fee for each additional connection	Participants / Issuers	Monthly in advance	P.O./Draft
6.	Annual Fee on Face Value of Issued Eligible Securities – Up to TK 5 crore – Above TK 5 crore to TK 20 crore – Above TK 20 crore	TK 25,000 TK 50,000 TK 100,000	-	Fixed annually fee per Eligible Security	Issuer	Annually in advance	P.O./Draft
7.	Fresh Issue / IPO Fee	TK 0.00015	-	Issue Price	Issuers / Participants	With Application / Month end	P.O./Draft
8.	Rematerialization Fee	TK 0.10	100	Per share	Participants / Direct Account Holders	Month end	P.O./Draft
9.	Documentation Fee	TK 2,500	-	One time, fixed	Participant / Issuer / Direct Account Holder	On account opening	P.O./Draft
10.	Application Fee for Statement / Statement Verification Fee	TK 10	-	Per page	Account Holder	With application	Cash/PO/Draft
SCHEDULE OF SECURITY DEPOSITS							
Sl#	SECURITY DEPOSIT PAYABLE BY			AMOUNT	BASIS	WHEN PAYABLE	MODE
1.	Full Service and Custody Participants			TK 200,000	One time, fixed, refundable	On signing of agreement	P.O./Draft
2.	Trading and Settlement Agent Participants			TK 50,000	One time, fixed, refundable	On signing of agreement	P.O./Draft
3.	Direct Account Holders			TK 25,000	One time, fixed, refundable	On account opening	P.O./Draft
4.	Issuers based on Face Value of Issued Eligible Securities – Up to TK 5 crore – Above TK 5 crore up to TK 12.5 crore – Above TK 12.5 crore up to TK 25 crore – Above TK 25 crore up to TK 50 crore – Above TK 50 crore			TK 100,000 TK 200,000 TK 300,000 TK 400,000 TK 500,000	One time, fixed per Eligible Security	15 days before the eligibility date	P.O./Draft

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Notes on Fees and Security Deposits:

1. Dematerialization Fees are payable by Participants in respect of each dematerialization request. The rate is 0.00015 on the market value of the Securities being dematerialized with a minimum charge of Tk 5 regardless of the market value of the Security being dematerialized.
2. Transaction Fees are payable by Participants / Account Holders in respect of all transactions that affect an account such as:
 - Transfer, Transmission;
 - Pledge, Unpledge and Confiscation of Pledge;
 - Freeze and Unfreeze;
 - Suspension.
 - Lending and Borrowing;
3. Annual Account Maintenance Fee of TK 500 is payable yearly in advance (end June) by all Depository Account Holders including all investors under any omnibus account. From the Account Maintenance Fee of Tk. 500 DPs will retain Tk. 100 and pay over to CDBL Tk. 400 from which CDBL will pay over to the Securities and Exchange Commission Tk. 250 of which Tk. 200 will be deposited by the SEC in the Government Treasury
4. Depository Connection Fee is Tk. 500 per month for the first workstation connected for each Participant / Issuer. The Transaction Fees are levied at the rate of 0.00015 on the market value of the shares with a minimum charge of Tk. 5 regardless of the market value of the Securities.
5. Additional Depository Connection Fee payable at Tk. 5,000 per month by each Participant / Issuer for the connection of each additional workstation.
6. Annual Fee on Issued Eligible Securities is payable by the Issuers based on the face value of the issued capital of the Eligible Security on a graduated scale as indicated in the schedule overleaf.
 - Delivery to or from a Clearing Account in satisfaction of a market trade or net delivery instruction;
7. Fresh Issue / IPO Fee is payable by the Issuer and each Participant that receives a credit to an account. The rate is 0.00015 on the issue price of the Securities or the market price for Securities issued as bonus shares or a stock dividend.
8. Rematerialization Fee is payable by Participants for each rematerialization request. The rate is Tk. 0.10 for each share with a minimum charge of Tk 100 per Rematerialization.
9. Documentation Fee is a one time fixed charge payable on account opening by Participants, Issuers, and Direct Account Holders.
10. Application Fee for Statement / Statement Verification Fee is Tk 10 per page of statement payable by Account Holders with the application / statement request.
11. Equity Participants of the Depository are exempt from security deposit.

The Depository may fix such reduced level of charges or allow discounts (e.g. based on transaction volumes) to all or a particular category of its customers or a particular type of eligible security (e.g. Government Securities) in the furtherance of its business as it deems fit.

However increase in any fees and charges shall have the prior approval of the Securities and Exchange Commission.

The Depository shall promptly notify the concerned Participant, Issuer or Direct Account Holder of any changes to the Fees and Deposits Schedule prior to implementing those changes.